PATENT Attorney Docket No.: EX95001-US

### THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Scott A. MOSKOWITZ et al.

Appl. No.: 09/657,181

Filed: September 7, 2000

Art Unit: 2857

Examiner: Carol S W TSAI

#### MAIL STOP

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22314-1450

Sir:

## Request to Proceed on Behalf of a Sole Assignee Under CFR § 3.73(b)

Blue Spike, Inc., a Florida corporation, certifies that it is the sole assignee of the entire right, title, and interest in the above-identified patent application by virtue of a chain of title from the inventors of the above-identified patent, to the current assignee as follows:

The undersigned has reviewed all of the documents in the chain of title of the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above. Attached herein are the following supporting documents: Verified Statement (Declaration) Claiming Small Entity Status; Original Declaration and Power of Attorney signed by Scott Moskowitz and Michael Berry; Assignment signed by Scott Moskowitz and Michael Berry; U.S. Patent & Trademark Office Notice of Recordation of Assignment Document (Recordation Date December 12, 2000, Reel/Frame 011365/0665). Blue Spike, Inc. continues to be the sole owner of all rights in the application. The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these

**PATENT** 

Attorney Docket No.: EX95001-US

statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Respectfully submitted,

BLUE SPIKE, INC.

Date: December 29, 2005

Ву

Title

2



VERIFIE	D STATEMEN	Docket No.			
STATUS	37 CFR 1.9(1	BUSINESS CONCERN	066112.1032		
Applio	cation No.	Filing Date	Patent No.	Issue Date	
09/6	557,181	September 7, 2000			
Applicant/ Patentee:	Scott A. Mo	OSKOWITZ et al.			
Invention:	Method and	d Device For Monitoring	and Analyzing Signals		
I hereby de	clare that I am:			•	
☐ th	e owner of the s	mall business concern identi	fied below:	• ,	
⊠ ar	official of the si	mall business concern empo	wered to act on behalf of the	concern identified below:	
NAME OF C	ONCERN:	Blue Spike, Inc.			
ADDRESS (	OF CONCERN:	16711 Collins Avenu	e, #2505, Miami, Florida :	33160 USA	
Sections 4 including the of employe persons em and (2) con	13 CFR 121.3-1 I(a) and (b) of ose of its affiliat es of the busin ployed on a full- cerns are affiliat	8, and reproduced in 37 Cl Title 35, United States Codes, does not exceed 500 pe ess concern is the average time, part-time or temporary es of each other when eithe	ess concern qualifies as a series of particle, in that the number of extremes of this extreme the previous fiscal ye basis during each of the particle or has the power to controls or has the	paying reduced fees under employees of the concern, statement, (1) the number ear of the concern of the y periods of the fiscal year, concern controls or has the	
I hereby de concern ide	clare that rights ntified above wit	under contract or law have h regard to the above-identif	been conveyed to and rema	in with the small business	
th	e specification fil	ed herewith with title as liste	ed above.	·	
⊠ th	e application ide	ntified above.			
the	e patent identifie	d above.			
of the rights held by the above-identified small business concern are not exclusive, each individual, concern or programization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).					

				ave assigned, granted, convey or license any rig			
☐ No su	ich person,	concern or org	<b>janizatio</b> n	n exists.			
Each	such perso	n, concern or o	organizati	on is listed below.			
-FULL NAME			,			<u> </u>	
ADDRESS							
•		Individual		Small Business Concern		Nonprofit Organization	
FULL NAME	<del></del>	- <del></del>				· · · · · · · · · · · · · · · · · · ·	_
ADDRESS		1					
FULL NAME		Individual		Small Business Concern		Nonprofit Organization	
ADDRESS	** · · · · · · · · · · · · · · · · · ·	,	<del></del>	**************************************			
ADDITEGO	. 0	Individual		Small Business Concern		Nonprofit Organization	
FULL NAME	·		_				
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		Individual		Small Business Concern		Nonprofit Organization	_
Separate verified the invention average and the invention average and the second secon	ed statement verring to the	nts are require eir status as si	d from ea	ach named person, cor les. (37 CFR 1.27)	ncern or org	anization having rights	; to
of entitlement to	o small enti	ty status prior	to paying	or patent, notification of a n, or at the time of payin tatus as a small entity	g, the earlie	est of the issue fee or a	anv
on information knowledge that under Section 1	and belief willful false 001 of Title	are believed statements a 18 of the Unit	to be truind the liked States	of my own knowledge a ue; and further that the te so made are punisha s Code, and that such w thereon, or any pater	ese stateme able by fine rillful false s	ents were made with or imprisonment, or bo tatements may jeopard	the oth, lize
NAME OF PERS			A. Mosk	owitz			
TITLE OF PERS		G Presio	dent		( نو		
ADDRESS OF P	ERSON SIG	111110.	1 Collins ni, FL 33	s Avenue, #2505 3160			
SIGNATURE:	Ju	TA MIN	hour		_ DATE:	11-20-00	



VERIFIED	STATEMEN	(DECLA	RATION) CL	AIMING SMAL	L ENTITY	Docket No.
SIAIUS	(37 CFR 1.	9(t) AND 1	.27(b)) - INDI	EPENDENT INV	ENTOR	066112.0132
Application No. Filing Date Patent No.					it No.	Issue Date
09/657,181 September 7, 2000						
Applicant/ Patentee:	Scott A. M	oskowit	Z et al.			
Invention:	Method an	d Device f	or Monitorin	g and Analyzir	ng Signals	
			•		÷	
purposes of pa	ying reduced	fees under	Sections 41(a)	fy as an independent and (b) of Title 3 re and described in	35. United Stat	defined in 37 CFR 1.9(c) for es Code, to the Patent and
the sp	ecification to	be filed herev	with.			
🗵 the ap	oplication iden	tified above.				
the pa	atent identified	above.		•		
37 CFR 1.9(c)	e, any rights i if that person	in the invention that made the	on to any persoi ne invention, or	n who could not be	classified as a high high would not	ntract or law to assign, grant, in independent inventor under qualify as a small business
Each person, counder contract of	encern or orga or law to assign	nization to wi	nich I have assi ey, or license a	gned, granted, con ny rights in the inve	veyed, or licens ention is listed b	ed or am under an obligation elow:
	•		nization exists.			
区 Each	such person,	concern or or	ganization is lis	ted below.	·	
*NOTE: S	Separate verifi rights to	ed statement the invention	s are required for averring to the	rom each named po ir status as small e	erson, concern entitiés. (37 CFI	or organization having R 1.27)
FULL NAME	Blue Sp	ike, Inc.				
ADDRESS	16711 (	ollins Ave	nue, #2505,	Miami, Florida	33160 USA	
•		Individual		all Business Concern		Nonprofit Organization
FULL NAME		·	· ·			
ADDRESS						,
		Individual	☐ Sm	all Business Concern		Nonprofit Organization
FULL NAME						
ADDRESS						
		İndividual	☐ Sm	all Business Concern		Nonprofit Organization
FULL NAME						•
ADDRESS						
		Individual	☐ Sm	ali Business Concern		Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF INVENTOR	Scott A. Moskowitz	<b>-</b> .
SIGNATURE OF INVENTOR	Just 1- moder	DATE: //-20-00
NAME OF INVENTOR	Michael W. Berry	<u>-</u>
SIGNATURE OF INVENTOR		DATE:
ALAME OF INVENTOR		
NAME OF INVENTOR	·	-
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NAME OF INVENTOR		
SIGNATURE OF INVENTOR		DATE:
NAME OF INVENTOR		) ·
SIGNATURE OF INVENTOR		DATE:
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SIGNATURE OF INVENTOR		DATE:

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR:1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF INVENTOR SIGNATURE OF INVENTOR	Scott A. Moskowitz	DATE:	÷
		DA112.	
NAME OF INVENTOR	Michael W. Berry		,
SIGNATURE OF INVENTOR	Mrss	DATE:	11/26/00
NAME OF INVENTOR			
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NAME OF INVENTOR	•	•	
SIGNATURE OF INVENTOR		DATE:	

# DEC 2 9 2005 DECLARATION AND PO

#### DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As the below named inventor, I hereby declare that:

My residence, post office address and citizenship is as stated below next to my name;

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

#### METHOD AND DEVICE FOR MONITORING AND ANALYZING SIGNALS

	⊠ reviewed and u	is attached hereto. was filed on: as Application No.: and was amended  nderstand the content	onts of the above-ider	(if applicable).
		ed by any amendmen ich is material to paten		
		Prior Foreign Applica	ation(s)	
or § 365(b) of PCT internation States of Ame	any foreign appli nal application w rica, listed below ntor's certificate	iority benefits under T cation(s) for patent or which designated at le and have also identi having a filing date I	inventor's certificate, east one country oth fied below any foreig	or § 365(a) of any per than the United gn-application(s) for
Country	Application Number	Date of Filing (day, month, year)	Date of Issue (day, month, year)	Priority Claimed
	110.11001	(day, months, year)	(day, month, year)	Yes No 🗆
	<u> </u>			
				Yes   No
				Yes 🔲 No 🗖
		Prior Provisional Appli	cation(s)	

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Application Number	Date of Filing (day, month, year)
٠	

#### Prior United States Application(s)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first, paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Number	Date of Filing (day, month, year)	Status - Patented, Pending, Abandoned
		,

And I hereby appoint, both jointly and severally, as my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith the following attorneys, their registration numbers being listed after their names:

Rodger L. Tate, Registration No. 27,399; Anthony W. Shaw, Registration No. 30,104; James Remenick, Registration No. 36,902; Michael J. Songer, Reg. No. 39,841; Cono A. Carrano, Registration No. 39,623; Laurence H. Posorske, Registration No. 34,698; Floyd B. Chapman, Registration No. 40,555; David J. Kulik, Registration No. 36,576; Robert A. King, Registration No. 42,738; and Trevor Q. Coddington, Registration No. 46,633.

All correspondence and telephone communications should be addressed to: Intellectual Property Department; Brobeck, Phleger & Harrison LLP; 1333 H Street, N.W.; Suite 800; Washington, DC 20005, telephone number (202) 220-6000; facsimile number (202) 220-5200, which is also the address, telephone and facsimile numbers of each of the above listed attorneys.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine and imprisonment, or both, under 18 U.S.C. § 1001, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature	July mond	19-	Date
Full Name of First Inventor:	MOSKOWITZ (Family Name)	Scott (First Given Name)	A. (Second Given Name)
Citizenship:	United States of America		
Residence:	16711 Collins Avenue, #2505,	Miami, Florida 33	160 USA
Post Office Address:	Same as above	· .	
•			i .
			<i>;</i>
Signature			)ate
Full Name of Second Inventor:	BERRY (Family Name)	Michael (First Given Name)	W. (Second Given Name)
Citizenship:	United States of America		
Residence:	12401 Princess Jeanne, Albuqu	uerque, New Mexic	o, 87112 USA
Post Office	•		

Address:

Same as above

Signature		Date	
Full Name of First Inventor:	MOSKOWITZ (Family Name)	Scott (First Given Name)	A. (Second Given Name)
Citizenship:	United States of America		
Residence:	16711 Collins Avenue, #250	5, Miami, Florida 33160	USA
Post Office Address:	Same as above		
Signature	They	Date	11/20/00
Full Name of			, ,
Second Invento	r: BERRY (Family Name)	Michael (First Given Name)	W. (Second Given Name)
Citizenship:	United States of America		
Residence:	12401 Princess Jeanne, Albu	querque, New Mexico, 8	37112 USA
Post Office Address:	Same as above		

#### ASSIGNMENT

WHEREAS, WE, Scott A. Moskwotiz, residing at 16711 Collins Avenue, #2505, Miami, Florida, 33160, USA, and Michael W. Berry, 12401 Princess Jeanne, Albuquerque, New Mexico, 87112, USA, have invented certain new and useful improvements in and to the subject matter of:

#### METHOD AND DEVICE FOR MONITORING AND ANALYZING SIGNALS

described in an application for United States Letters Patent filed on September 7, 2000, and accorded Application No. 09/657,181;

AND, WHEREAS, Blue Spike, Inc., a corporation organized under the laws of the State of Florida, having a place of business located at 16711 Collins Avenue, #2505, Miami, Florida, 33160, USA (hereinafter "ASSIGNEE"), is desirous of acquiring certain rights to the improvements and under the application;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) or the equivalent thereof, and other good and valuable consideration, receipt of which is hereby acknowledged, we do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, our entire right, title and interest in and throughout the United States of America (including its territories and dependencies) and all countries foreign thereto in and to said improvements, said United States application, any other United States applications, including provisional, divisional, renewal, substitute, continuation, reexamination and reissue applications, based in whole or in part on said United States application or in whole or in part on said improvements, any foreign applications, including international and regional applications, based in whole or in part on any of the aforesaid United States applications or in whole or in part on said improvements, and in and to any and all letters patent, including extensions thereof, of any country which have been or may be granted on any of the aforesaid applications or on said improvements or any parts thereof;

AND WE hereby authorize and request our attorneys, Brobeck, Phleger & Harrison LLP, whose address is 1333 H Street, N.W., Suite 800, Washington, DC 20005, to insert hereon any identification necessary or desirable for recordation of this document, including the filing date and application number of said application when known.

AND WE hereby agree for ourselves and our heirs, executors and administrators to execute without further consideration any further documents and instruments which may be necessary, lawful and proper in the prosecution of said above-referenced application or in the preparation or prosecution of any continuing, substitute, divisional, renewal, reexamination or reissue application or in any amendments, extensions or interference proceedings, or other applications for patents of any region or country, that may be necessary to secure to ASSIGNEE its interest and title in and to said improvements or any parts thereof, and in and to said several patents or any of them;

AND WE hereby covenant for ourselves and our legal representatives, and agree with said ASSIGNEE, its successors and assigns, that we have granted no right or license to make, use, sell or offer to sell said improvements, to anyone except said ASSIGNEE, that prior to the execution of this deed, our right, title and interest in said improvements had not been

ATTORNEY DOCKET No.: 066112.0132 **ECORDATION FORM COVER SHE** DEC 2 9 2005 PATENTS ONLY To the Honorable Commissioners Patents: Please record the attached original document(s) or copy(ies) thereof. 1. Name of Conveying Party(ies): Scott A. Moskowitz Michael W. Berry Additional name(s) of conveying party(ies) attached? Yes ⊠ No 2. Name and Address of Party(ies) receiving an interest (assignee(s)): Name: Name: Blue Spike, Inc. Address: 16711 Collins Avenue, #2505 Address: Miami, Florida 33160 Country: Country: Additional name(s) and address(es) attached? ☐ Yes 3. Nature of Conveyance: Assignment Merger Change of Name Verified Translation Security Agreement Other: \_ Execution Date: November 22, 2000 and November 29, 2000 Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date(s) of the application is: Patent Application No(s).: Patent No(s).: 09/657,181 ⊠ No Others on additional sheet(s) attached? Yes 5. Name and address of party to whom correspondence Total number of applications and patents involved: concerning document should be mailed: Application(s): Patent(s): Floyd B. Chapman Total: Intellectual Property Department Brobeck, Phleger & Harrison LLP Total Fee (37 C.F.R. § 3.41) . . . . \$ 40.00 1333 H Street, N.W. Enclosed Suite 800 Authorized to be charged to Deposit Account Washington, DC 20005 (202) 220-6000 (telephone) 50-1640 Deposit Account No.: \_ (202) 220-5200 (facsimile) (Duplicate copy of this sheet attached)  $\square$ Charge any underpayment or credit any overpayment to above Deposit Account DO NOT USE THIS SPACE Statement and Signature To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Dec 12, 2000

Date Floyd B. Chapman, Reg. No. 40,555 Name of Person Signing Total number of pages including cover sheet, attachments, and document:

otherwise encumbered, and that we have not and will not execute any instrument in conflict therewith;

AND WE do hereby authorize and request the United States Commissioner for Patents to issue any and all letters patent which may be granted upon said United States applications, or upon said improvements or any parts thereof when granted, to said ASSIGNEE.

IN WITNESS WHEREOF, we have hereunto set our hands and seals.

11-22-00		HA mohoy
Date		Moskowitz
County of DADE  State of FLORID	)	
State of FLORID	) ss: )	
Public in and for the Cou to me known and know	nty and State aforesaid, perso	
(SEAL)		Notary Public  Eva Von Strehle  My Commission No. CC 619951  Bonded Through Fla. Notary Service & Bending Co.
Date	Michael V	
County of	)	
State of	) ss: )	
to me known and know	day of	f that name, who signed and sealed the
(SEAL)		Notary Public  My Commission Expires

BROBECK, PHLEGER & HARRISON LLP INTELLECTUAL PROPERTY DEPARTMENT 1333 H STREET, N.W. SUITE 800 WASHINGTON, D.C. 20005 (202) 220-6000 (TELEPHONE) (202) 220-5200 (FACSIMILE) otherwise encumbered, and that we have not and will not execute any instrument in conflict therewith;

AND WE do hereby authorize and request the United States Commissioner for Patents to issue any and all letters patent which may be granted upon said United States applications, or upon said improvements or any parts thereof when granted, to said ASSIGNEE.

IN WITNESS WHEREOF, we have hereunto set our hands and seals.

Date	Scott A. Moskowitz
County of	
State of	) ss: )
to me known and known to me	day of, 2000, before me a Notary state aforesaid, personally appeared, to be the person of that name, who signed and sealed the ledged the same to be of his free act and deed.
(SEAL)	Notary Public
11/29/00 Date	My Commission Expires
County of BERNAHLLO State of New Mexter	) ) ss:
	day of November, 2000, before me a Notary
Public in and for the County and S to me known and known to me	tate aforesaid, personally appeared /// Chael Beery, to be the person of that name, who signed and sealed the ledged the same to be of his free and deed.
OFFICIAL SEAL April Wyant NOTARY PUBLIC STATE OF NEW MEXICO	Notary Public  My Commission Expires 3/04/2003

BROBECK, PHLEGER & HARRISON LLP INTELLECTUAL PROPERTY DEPARTMENT 1333 H STREET, N.W. SUITE 800 WASHINGTON, D.C. 20005 (202) 220-6000 (TELEPHONE) (202) 220-5200 (FACSIMILE)





UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS

Washington, D.C. 20231

MARCH 07, 2001

**PTAS** 

BROBECK, PHLEGER & HARRISON LLP FLOYD B. CHAPMAN INTELLECTUAL PROPERTY DEPARTMENT 1333 H STREET, N.W., SUITE 800 WASHINGTON, DC 20005



UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/12/2000

REEL/FRAME: 011365/0665

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

MOSKOWITZ, SCOTT A.

DOC DATE: 11/22/2000

ASSIGNOR:

BERRY, MICHAEL W.

DOC DATE: 11/29/2000

ASSIGNEE:

BLUE SPIKE, INC.

16711 COLLINS AVENUE, #2505

MIAMI, FLORIDA 33160

SERIAL NUMBER: 09657181

PATENT NUMBER:

FILING DATE: 09/07/2000

ISSUE DATE:

TONYA LEE, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS MAR 1 2 2001

BROBECK

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